

Patent  
Attorney's Docket No. 019952-167

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of	)	
	)	
Katsuhiro SHIRAKAWA et al.	)	Group Art Unit: Unassigned
	)	
Application No.: Unassigned	)	Examiner: Unassigned
	)	
Filed: September 21, 2001	)	
	)	
For: IMPLANTABLE ELECTRODE LEAD...	)	
	)	
	)	
	)	

**REQUEST FOR APPROVAL OF DRAWING CHANGES**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The Examiner's approval is respectfully requested for the proposed changes to Figure 11 shown in red ink on the accompanying copy, wherein Figure 11 change "Co" to -- C58 --.

Upon receipt of the Examiner's approval and a Notice of Allowance, these changes will be implemented through newly submitted drawings.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: William C. Mandros, RN 3057, P  
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Date: September 21, 2001

FORM-PTO-1380  
(Rev. 12-29-89)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

KEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

019952-167

U.S. APPLICATION NO. (If known, use 37 C.F.R. 1.55)

Unassigned

09/937062

INTERNATIONAL APPLICATION NO.

PCT/JP01/01718

INTERNATIONAL FILING DATE

6 March 2001

PRIORITY DATE CLAIMED

6 March 2000

TITLE OF INVENTION

Implantable Electrode Lead and Implantable Medical Instrument Using the Implantable Electrode Lead

APPLICANT(S) FOR DO/EO/US

Katsuhiro SHIRAKAWA; Yoshizo ISHIZUKA and Fuminori TSUBOI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and the PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
   
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: International Search Report

U.S. APPLICATION NO. 09/793706

Unassigned

INTERNATIONAL APPLICATION NO.

PCT/JP01/01718

ATTORNEY'S DOCKET NUMBER

019952-167

17. ☒ The following fees are submitted:

CALCULATIONS

PTO USE ONLY

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$1,000.00 (960)

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00 (970)

International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00 (958)

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00 (956)

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00 (962)

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 860.00

Surcharge of \$130.00 (154) for furnishing the oath or declaration later than  
months from the earliest claimed priority date (37 CFR 1.492(e)).

20 ☐ 30 ☐

Claims	Number Filed	Number Extra	Rate
Total Claims	16 -20 =	0	X\$18.00 (966)
Independent Claims	2 -3 =	0	X\$80.00 (964)
Multiple dependent claim(s) (if applicable)			+ \$270.00 (968)

TOTAL OF ABOVE CALCULATIONS =

\$ 860.00

Reduction for 1/2 for filing by small entity, if applicable (see below).

\$ 0

SUBTOTAL =

\$ 860.00

Processing fee of \$130.00 (156) for furnishing the English translation later than  
months from the earliest claimed priority date (37 CFR 1.492(f)).

20 ☐ 30 ☐

\$ 0

TOTAL NATIONAL FEE =

\$ 860.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by  
an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (581) per property +

\$ 0

TOTAL FEES ENCLOSED =

\$ 860.00

Amount to be:

refunded \$

charged \$

a. ☐ Small entity status is hereby claimed.b. ☒ A check in the amount of \$ 860.00 to cover the above fees is enclosed.c. ☐ Please charge my Deposit Account No. 02-4800 in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.d. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4800. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))  
must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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*William Cherkovskiy, RN 30582, Inc.*  
SIGNATURE

Platon N. Mandros

NAME

22,124

REGISTRATION NUMBER